





Review Article 417

# The Orthodontic Mini-Implants Failures Based on Patient Outcomes: Systematic Review

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## **Abstract**

Anchorage is a challenge and essential issue for an orthodontist in determining the success of orthodontic treatment. Orthodontic anchorage is defined as resistance to unwanted tooth movement. Mini-implant is one of the devices that can be used as an anchor in orthodontic treatment. Many cases have reported successful treatment using mini-implant, but there are cases where mini-implants may fail. Failure of mini-implants can affect orthodontic treatment, and it is known that several factors may lead to miniimplant loss in orthodontic treatment. This systematic review aimed to determine the factors influencing mini-implant failure in orthodontic treatment. Articles were selected from electronic databases (PubMed, Google Scholar, The Cochrane Library, ScienceDirect) from January 2015 until 2023 according to the PRISMA method (Preferred Reporting Items for Systematic Reviews and Meta-Analysis) under the PEOS (Population-Exposure-Outcome-StudyType) framework questions for systematic review. The study was registered in the International Prospective Register of Systematic Reviews (PROSPERO) database (CRD42022337684). All data collected were in English, and filtering was done by eliminating duplicate data, meta-analysis, case reports, case series, mini-reviews, and animal studies. The analysis was further divided into three groups, that is, patient-related, implant-related, and operator-related and operatorrelated (A graphical abstract provided as a - Supplementary information [available in the online version]). Twenty-one articles were identified according to the inclusion criteria in the form of retrospective, prospective, in vivo, and randomized controlled trial studies. Mini-implant failures due to patient-related showed six etiological factors, failures due to implant-related had eight etiological factors, and only one factor was operator-related, which may lead to mini-implant failure. The data was extracted without a computerized system and only in English. Mini-implant failure can be caused by many factors; we could not accuse one major factor as a cause. However, the quality or condition of the bones and oral hygiene are factors that play a significant role in obtaining the stability of implants. Mini-implant failure is highly influenced by poor oral hygiene and peri-implant inflammation. Comprehensive diagnostic prior to miniimplant insertion should be appropriately considered. This systematic review describes several factors that can influence mini-implant failure, divided into three groups: patient-related, implant-related, and operator-related (A graphical abstract provided as

## **Keywords**

- ► PROSPERO Registration: CRD42022337684 (16/06/22)
- ► mini-implant
- ► miniscrew
- temporary anchorage device
- orthodontic anchorage
- mini-implant failure

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a **Supplementary information** [available in the online version]).

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## Introduction

Anchorage is a challenge and important issue for an orthodontist in determining the success of orthodontic treatment.<sup>1</sup> There are two types of anchorage: extraoral (headgear or facemask) and intraoral anchorage (transpalatal arch or lingual arch).<sup>2</sup> Orthodontic anchorage is defined as resistance to unwanted tooth movement.<sup>3</sup> Several terms can be used to describe a mini-implant as an intraoral anchorage, such as miniscrew, miniscrew implant, microscrew, microscrew implant, and temporary anchorage devices (TADs).<sup>3–5</sup>

Mini-implant material must have sufficient strength to prevent torque from the implant threads during insertion and removal without permanent deformation. The material must be nontoxic, biocompatible, and have good mechanical properties. Safiya Sana and Huang et al divided implant material properties into biotolerant, bioinert, and bioactive. Mini-implant insertion can be done by self-tapping (pre-drilling) and self-drilling methods.

The success rate of mini-implants has been widely reported to vary through previous studies from 74 to 93%. 9-11 According to some researchers, mini-implants are successful if the implants are stable in the jawbone until the end of treatment or until the planned time of removal. 12,13 Kaul and Dhanani mentioned that the mini-implants' success could be interpreted by the presence of minimal mobility and inflammation and the ability to obtain function correction through direct and indirect anchorage. 1 Similar to orthodontic mini-implant, according to Rodrigues et al, the quality and quantity of bones, such as their density and morphology, were the only predisposing factors for the successful osseointegration of dental implants. 14 Oral hygiene and peri-implant inflammation must be controlled to minimize the failure of implants. Bacterial infections are a common cause of endoosseous mini-implant failure. 15 Various studies have suggested reducing inflammation rates by taking daily mouthwash safely. 16,17 According to Nugraha et al, they concluded that Robusta Green Coffee Bean (RGCB) ethanol extract might be effective against periimplantitis bacteria in vitro, and chlorogenic acid in RGCB has antibacterial, anti-inflammatory, antioxidant, pro-osteogenic properties, and antibone resorption.<sup>18</sup>

According to some researchers, mini-implant failure is interpreted as the occurrence of implant mobility less than

8 months after insertion, causing the implants to be unable to act as an anchor, and implant replacement is required. <sup>10,19,20</sup> Joshi et al said that there was a 30% increase in mini-implant failure due to peri-implant soft tissue inflammation. <sup>21</sup>

Many factors cause mini-implant failure, so the possibility of failure must be considered.<sup>22</sup> The purpose of this systematic review is to provide information about the factors that may influence the occurrence of implant failure in orthodontic treatment.

#### Methods

## Population-Exposure-Outcome-Study Type (PEOS) Question

This systematic review followed the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) guidelines, and the study was registered in the PROSPERO database on 16/06/22 with the ID number CRD42022337684. The analysis was performed to answer the question "What causes orthodontic mini-implant failure?" according to:

**P**opulation: Patients in orthodontic treatment. **E**xposure: The application of mini-implant.

Outcome: Mini-implant failure.

Study type: Randomized controlled trial, retrospective,

prospective, in vivo.

#### **Information Sources and Literature Search**

A systematic electronic search was limited to English language articles, and they were selected from electronic databases: PubMed, Google Scholar, ScienceDirect, and The Cochrane Library, published from January 2015 until 2023. The following keywords terms used for identification were "orthodontic" AND "mini-implant" OR "miniscrew" OR "micro implant" OR "temporary anchorage device" OR "TAD" AND "failure" OR "fail." The keywords were adjusted related to orthodontic mini-implant with the title "failure" and manually searched for relevance to the content.

## **Study Selection**

The study was limited to research articles or original articles, that is, case-control trials, cohort studies, retrospective studies, case series, randomized controlled trials, or cross-sectional trials. Articles were then removed to Mendeley

Table 1 Inclusion and exclusion criteria of manuscripts

## **Inclusion Criteria**

- Articles from databases PubMed/Google Scholar/ScienceDirect/The Cochrane Library.
- Articles published from 2015-2023
- Articles' titles contain "orthodontic," "mini-implant/mini-screw/micro implant/TAD/temporary anchorage device"
- The article's title contains the word "failure"
- Randomized controlled trial, retrospective, prospective, in vivo studies

#### **Exclusion Criteria**

- Dental implant
- Animal studies
- A systematic review, meta-analysis, case report, and case-series articles.

Abbreviation: TAD, temporary anchorage device.

Library. Duplicates, systematic reviews, meta-analyses, case reports, and case-series articles were removed. Two authors independently searched and screened for the articles based on the search database engine for the titles and the abstracts. Exclusion criteria were adjusted to search for the eligible articles. Information and articles were checked, approved, and reviewed by the authors (an orthodontic resident with two supervisors until all disagreements had been solved to achieve the final results. Twenty-one articles fulfilled the inclusion criteria.

# Data Collection, Measurements, and Risk of Individual Bias Analysis

The data extraction was performed individually by two reviewers. The data extracted was based on the inclusion and exclusion criteria (**~Table 1**): Study characteristics (manuscript in English, authors name, authors country, year of publication, and study design), sample characteristics (grouping: implant placement site, sample size, mini-implant size, gender and age of participant), outcome assessment (evaluation of failure criteria), and result. The risk of bias or critical appraisal of each study was analyzed by two reviewers who individually used Joanna Briggs Institute (JBI), quasi-experimental studies, or nonrandomized controlled trials, and the risk of bias for randomized controlled trials studies form presented in **~Fig. 1**.<sup>23,24</sup>

## Results

## **Studies Included Characteristics**

Articles were selected according to the inclusion and exclusion criteria from the various databases. The studies obtained 32.576 articles from all databases; after screening and eligibility, authors found 73 papers were accepted for the full-text assessment. It was selected according to the criteria and duplication checks, so 39 articles were obtained. The 39 articles included six systematic reviews and meta-analyses, one case report, two studies that used machines or models, two book-compiled studies, three finite element analyses, one in Portuguese language, and two literature reviews. Seventeen of these articles were removed, and a further one was removed due to an insufficient result from the JBI's critical appraisal and was inconsistent with the topic. Twenty-one articles were concluded to be selected that matched the inclusion criteria.

Reviewers decided to have a minimum mean from two reviewers of 70% of JBI's critical appraisal answered with yes to each article to achieve the standard quality of the article. Among the twenty-one analyzed studies, thirteen articles had above 80%, 8 articles had below 80% with appropriate answers. The characteristic diagram workflow that fulfilled the criteria is presented in **Fig. 2** and **Table 2**.

## **Discussion**

Anchorage is essential in orthodontics; its control is needed for the best treatment result.<sup>25</sup> Orthodontic mini-implants are routinely used worldwide as orthodontic anchorage

because they can be easily placed and do not require patient compliance.<sup>26</sup> Although some studies reported the success rate of mini-implants between 80 and 100%, mini-implant failure might occur frequently,<sup>27</sup> as some studies reported the failure rate could be between 5 and 20%.<sup>22,25</sup> While dental implants require osseointegration for stability, retention for orthodontic implant does not involve osseointegration but facilitated by mechanical interlocking at the implant-bone interface.<sup>28</sup>

Mini-implant is supposed to be stable, and no need to replace it until the end of the orthodontic treatment. If the implant showed signs of looseness, inflammation, or mobility, so it needs to be removed and replaced, the implant would be considered failed. According to Sreenivasagan et al, minimplant failure can be considered when there is excessive implant movement, soft tissue coverage, or loosening. Orthodontic mini-implants failure can be influenced by several factors, which will be classified in this review into: patient-related, implant-related, and operator-related.

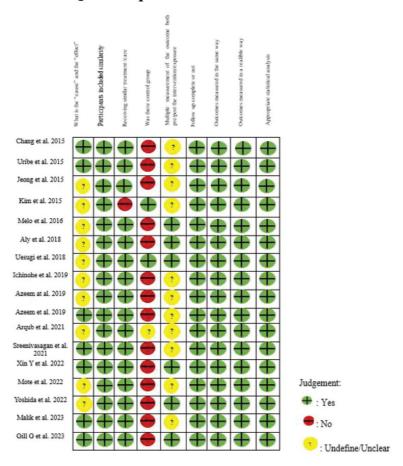
They were related to the patient, including gender, age, oral hygiene, bone condition, type of malocclusion, and placement side. Based on the mini-implant, including insertion location, dental arch, treatment objective, size, design, force, material, auxiliary attachment, and the operator related to the experience. Four studies reported that implant failure is more common in men, whereas according to a study conducted by Baik et al<sup>31</sup> and Rasool et al, no difference was found in the success rate between men and women.<sup>32</sup> According to Maki et al, men also exhibit higher bone density than women, which can differ from the oral cavity site.<sup>33</sup> The higher failure rate in men may be related to anatomic and hormonal differences<sup>34</sup> and the presence of thicker and denser cortical bone, leading to the application of excess torque during implantation.<sup>35</sup>

The patient's age also affects the stability of the implants. Xin et al stated that implants inserted in older patients tended to be more stable than in younger patients.<sup>36</sup> Lee et al found that younger patients have lower bone density and finer cortical bone<sup>37</sup> this is also similar to that reported by Fayed et al<sup>38</sup> and Farnsworth et al,<sup>39</sup> whereas Chen et al<sup>40</sup> stated that bone density is higher in older age patient and this was supported by Präger et al<sup>41</sup> who found that older patients have greater cortical thickness and are more likely to have more excellent stability.

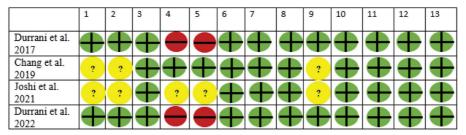
Several studies reported a higher failure rate in patients with poor oral hygiene. <sup>12,22,30,42</sup> Oral hygiene is known to be a local risk factor for mini-implant failure since mini-implant stability depends on adequate oral hygiene. <sup>43,44</sup> Similar to the studies in this review, Sharma et al <sup>45</sup> also reported that mini-implant loss related to poor oral hygiene and local inflammation; meanwhile according to Park et al <sup>46</sup>, oral hygiene played no role, but local inflammation around the mini-implants does. Inflammation damages the bone around the neck of the bone screws; progressive damage to the cortical bone causes mobility and exfoliation. <sup>44</sup>

The oral cavity is a unique microenvironment that contains different types of microbes.<sup>47</sup> Study conducted by Zhao et al to

## **Quasi-Experimental Studies**



## Randomized controlled trials



- 1. Was true randomization used for assignment of participants to treatment groups?
- 2. Was allocation to treatment groups concealed?
- 3. Were treatment groups similar at the baseline?
- 4. Were participants blind to treatment assignment?
- 5. Were those delivering treatment blind to treatment assignment?
- 6. Were outcomes assessors blind to treatment assignment?
- 7. Were treatment groups treated identically other than the intervention of interest?
- 8. Was follow up complete and if not, were differences between groups in terms of their follow up adequately described and analyzed?
- 9. Were participants analyzed in the groups to which they were randomized?
- 10. Were outcomes measured in the same way for treatment groups?
- 11. Were outcomes measured in a reliable way?
- 12. Was appropriate statistical analysis used?
- 13. Was the trial design appropriate, and any deviations from the standard RCT design accounted for in the conduct and analysis of the trial?

**Fig. 1** Risk of bias assessment for the studies included in this review.

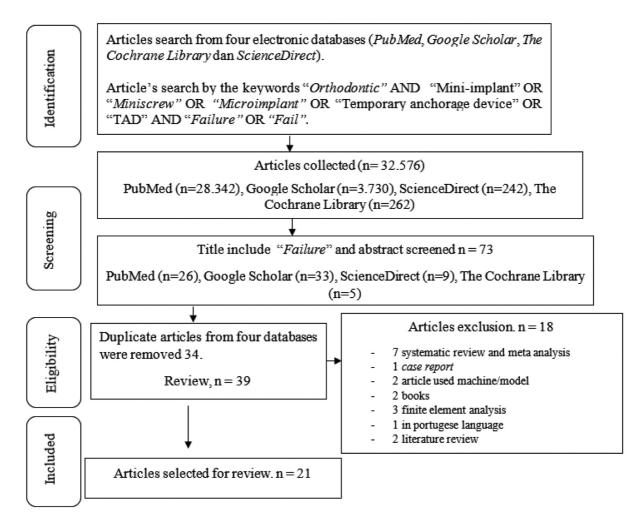


Fig. 2 Pathway of Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flow diagram for study selection.

analyze the contribution of the oral microbiome to the failure of TAD reported that the failed group showed enriched pathogenic genes involved in oxidative phosphorylation, flagellar assembly, and bacterial chemotaxis. There is no osseointegration in the TAD insertion site. Therefore, microorganisms inducing TAD failure might not be analogous to peri-implantitis.<sup>48</sup> Peri-implantitis is a polymicrobial disease caused by plaque accumulation and retention. 49,50 In the Zhao et al study, they found gram-negative species that could induce host inflammation, such as Eikenella corrodens, Neisseria elongata, Prevotella intermedia, and Citronella morbi around failed TAD.<sup>48</sup>

Bone condition or quality is a critical factor affecting miniimplants' stability.<sup>51</sup> Kim et al stated, according to Misch, the maxillary alveolar bone is mainly composed of porous bone, corresponding to D3 or D4; meanwhile, the mandible has dense bone classified as D2 and D3.52 The maxillary buccal cortical bone between the first molar and second premolar is thickest and the anterior area tends to have denser bone than posterior area.<sup>51</sup> Ntolou et al stated that sites with thin attached gingiva (AG), thick cortical bone, plenty of available bone, and dense cancellous bone are ideal for mini-implant placement, since they increase the chances of preventing local inflammation, achieving proper primary stability, and also achieving and maintaining secondary stability.<sup>53</sup>

The higher failure rate occurs more frequently in the mandible than the maxilla. 42,54 Five studies in this review showed the failure rate higher in mandible as well as Miyawaki et al,43 Park et al,46 and Wiechmann et al,55 all found implants to be more successful in the maxilla, as maxilla has greater amount of keratinized tissue, less demanding surgical procedures, and greater vascularization as compared to the mandible. Similar to the others, Papageorgiou et al also reported that significantly higher failure rate occurs in the mandible (28.2%) compared to the maxilla (11.8%).<sup>20</sup> This is may be related to the variations in bone structure such as mineral density and alveolar cortical bone thickness.56,57

The optimal onset of force application has long been a disputed issue as to whether a healing period is necessary for mini-implants stability. Previous research reported immediate loading might destabilize implants and result in more failures.<sup>58</sup> According to Chen et al, inflammation control and delayed loading were still necessary for mini-implants to achieve sufficient primary stability even after 3 weeks of healing, although osseointegration was not required at this stage.<sup>59</sup> Gill et al<sup>42</sup> concluded that loading of the mini-implant should be done after a latent period of 2 weeks. However, Nkenke et al found no differences in daily bone apposition,

 Table 2
 Characteristics of included articles systematic review

No	Author/year/	Mini-implant	Mini-implant			Gender	Age (years)	Failure criteria/time	Result
	country/study	samples/ failure	Size (mm) Samples	Site	Force load	Samples	Sample		
-	• Chang et al, 65 • 2015 • Taiwan • Retrospective analysis	1680/7.2%	2×12	mandibular buccal shelf (moveable mucous vs. at- tached gingiva)	227-397g/ Immediate loading (power chain)	۹:435 گ:405	16±5	Undefined/3.3 months	Patient-related: age 14 ± 3 years, placement side     Implant-related: -     Operator-related: left-sided implant
2	Uribe et al. 19 2015 USA Retrospective cohort study	55/21.8%	2 × 9	Infrazygomatic	200g	9:42 o:13	22 ± 11	Mobility and loose/ undefined	• Patient-related: poor oral hygiene, medical condition, $\sigma > \varphi$ • Implant-related: failure diameter/length of 1.5-1.8mm/6-8mm • Operator-related: force > 150g, left-sided implant, inexperienced operator
м	• Jeong et al, <sup>73</sup> • 2015 • Korea • Undefined	331/ 17.22%	1.2×7	Buccal alveolar bone maxil- lae/ mandible	1,4,8,12,16 weeks loading	Undefined	20.08 ± 7.52	Mobility/1-5 weeks	Patient-related:     Implant-related: force     Operator-related: failure in the first week of force loading
4	<ul> <li>Kim et al,<sup>13</sup></li> <li>2016</li> <li>Korea</li> <li>Undefined</li> </ul>	394/18.27%	1.2×7	Buccal alveolar bone	Undefined	٩:101 ۴:24	21.2 ± 7.6	Mobility and loose	• Patient-related: 3 > 9, mandibula > maxillae, young > adult patient • Implant-related:
2	• Melo et al, 9 • 2016 • Brazil • Retrospective (cross-sectional study)	1356/10.9%	1.3,14,1.6× 5,7,9,11	Buccal, lingual, palatal or alveolar crest	Immediate loading	٩:423 ♂:147	21.95 ± 7.6	Mobility and fractured	Patient-related: male > female, mandibula > maxillae, palatal site > other site.     Implant-related: Arch, smaller size > bigger size, &design     Operator-related:
9	• Durrani et al,72 • 2017 • Pakistan • Randomized controlled trial, in vivo	60/ Single thread: 13,3% Dual thread:20%	Total length: 13 2 × 10	Maxillary arches between the roots of the second premolar and the first molar	300g/Immediate loading (nicke-titanium coil spring	30 \$:67%	Mean: 18 Range: 14-20	Undefined	Patient-related:     Implant-related: arch, thread design     Operator-related: failure in the first month after insertion
7	• Aly et al, 22 • 2018 • Egypt • Prospective dinical trial	180/17,8%	1.5, 1.6, 1.8 × 6, 8, 10	Buccal, palatal/left, right/maxilla and mandible	50g, 100g, 150g, 200g, 250g	٩:58 م:24	21.41	Sudden spontaneous loss or the presence of mobility or looseness during routine visits that required replac- ing the TAD used or infected painful pathological condi- tion that could be seen as normal inflammation	Patient-related: age, oral hygiene, gender, frequency of brushing per day implant-related: type, size  • Operator-related:loading
∞	• Uesugi et al, <sup>71</sup> • 2018 • Japan • Retrospective study	387/20,9%	1.4, 1.6 (maxillary buc- cal), 1.6, 2.0 x 6, 8 (midpalatal)	Maxillary buccal, midpalatal suture	Undefined	१:176 ४:62	27.9±8.4	Mobility, inflammation, and anchorage function last less than one year after orthodontic loading	Patient-related: Implant-related: size • Operator-related:

Table 2 (Continued)

No	Author/year/	Mini-implant	Mini-implant			Gender	Age (years)	Failure criteria/time	Result
	country/study	samples/ failure	Size (mm) Samples	Site	Force load	Samples	Sample		
б	• Ichinohe et al, <sup>70</sup> • 2019 • Japan • Undefined	25/20% Cortical bone thickness (<1.5 mm), 32% Screw-suture distance (<1.5 mm), 20% insertion depth (<4.5 mm)	2×9	Median palate	Dynamic Ioads 2.4N	\$:18 %:7	23.4±5.6 Range: 15.0–34.5	Natural loss or mobility	Patient-related: bone condition Implant-related: Operator-related:
10	• Chang et al, 61 • 2019 • Indianapolis (USA) • Randomized double-blind dinical trial	772/6.3%	2×1	Infrazygomatic crest	397g or 389 cN/ Immediate loading	\$:310 &:76	Mean age: 24.3 10.3–59.4	Loose (mobile) screw that exfoliated or was deemed too loose to provide effective anchorage	Patient-related: age Implant-related: material, arch Operator-related:
11	• Azeem et al, <sup>12</sup> · 2019 · Pakistan · Retrospective pilot study	60/26,3%	1.3, 1.5 × 8, 10	Maxillary tuberosity region	Immediate loading 100–150g (elastomeric or nickel-titanium coll springs 12 mm)	٩:23 ơ:17	20.1 ± 8.9	Mobility or loose during orthodontic treatment	Patient-related: oral hygiene, placement side Implant-related: Operator-related:
12	• Azeem et al, 69 . 2019 . Pakistan . Retrospective cohort study	110/23,2%	1.3,2 × 8,10	Buccal retromolar area, at the distobuccal surface of the second molars, is between the anterior border of the mandibular ramus and the temporal crest.	Immediate loading 100–150g (elastomeric chain or nickel-titanium coil springs)	8:52 ø:55	Mean age: 18.6 SD: 5.2	Mobility or loosened	Patient-related: oral hygiene, placement side Implant-related: location Operator-related:
13	<ul> <li>Arqub et al,<sup>34</sup></li> <li>2021</li> <li>USA</li> <li>Retrospective</li> <li>cohort study</li> </ul>	275/ Palatal: 8.5% Buccal: 32.5%	2 × 8, 10	Palatal and buccal	Undefined	127	1	Undefined	Patient-related: gender, malocclusion type Implant-related: location, the treatment objective Operator-related:
14	• Joshi et al, <sup>21</sup> • 2021 • India • Randomized controlled trials and cross-sectional	Undefined	1.4×8	Between the second premolar and the first molar of the maxilla	Immediate loading 150g (power chain or power chain with SS ligature wire)	30	15-30	Inflammation	Patient-related: Implant-related: auxiliary attachment Operator-related:
15	<ul> <li>Sreenivasagan et al, <sup>30</sup></li> <li>2021</li> <li>India</li> <li>Undefined</li> </ul>	218/16,5%	Undefined	Anterior interradicular, buccal shelf, infrazygo- matic, palatal and midline	Undefined	₽: 142 ♂: 76	15.5 ±8.3	Complications included breaking, soft tissue coverage needing excision, poor oral hygiene and tissue overgrowth	Patient-related: oral hygiene Implant-related: insertion location Operator-related:
16	• Xin et al, <sup>36</sup> • 2022 • China • Retrospective	889/17.10% (failed once), 5.29% (failed twice or more)	$6.0 \times 1.4$ $8.0 \times 1.4$ $10.0 \times 2.0$	Retromaxillary and retromandibular area	50-200 g	₽: 292 ♂: 55	25.62±7.43	Mobility and cannot ac- complished their clinical purpose	Patient-related: age Implant-related: insertion location Operator-related: -
17	• Mote et al, <sup>51</sup> • 2022 • India • Descriptive study	195/61.67%		Maxilla (attached and unattached gingiva)	Undefined	ı	20-45	Undefined	Patient-related:- Implant-relatd: insertion location Operator-related:-
									(Continued)

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Table 2 (Continued)

No	Author/year/	Mini-implant	Mini-implant			Gender	Age (years)	Failure criteria/time	Result
	country/study	samples/ failure	Size (mm) Samples	Site	Force load	samples	sample		
18	<ul> <li>Yoshida et al, 35</li> <li>2022</li> <li>Japan</li> <li>Retrospective study</li> </ul>	46715.6%	1.3 to 1.6 mm and 5.0 to 8.0 mm	Attached gingiva on the buccal side	Delayed loading	۶: 156 م: 41	Mean age: 20 years and 2 months (range: 12 years 5 months-47 years 1 month)	Movement of the implant	Patient-related: gender, age Implant-related: dental arch Operator-related: -
19	• Durrani <sup>54</sup> • 2023 • Pakistan • Randomized clinical control trial splitmouth design	184/ HA-coated (11%), uncoated group (13%)	1.6 × 8 The pitch of the threads was 0.8 mm throughout the length of the TAD	At the mucogingival junction at angulation 45° to the long axis of the tooth	Directly loaded 300 g with a nickel-titanium coil spring	92	1	Loosening the TAD to a degree in which it could not sustain the force of the coil spring	Patient-related: - Implant-related: location insertion Operator-related: -
20	<ul> <li>Malik et al, <sup>25</sup></li> <li>2023</li> <li>Pakistan</li> <li>Retrospective review</li> </ul>	85/±26%	8×1.5 -	Maxilla and mandibula	Undefined	٩: 46 ٥ <sup>:</sup> 39	Mean age: 20 years	Not stable with inflammation or any pathological condition around the implant	Patient-related: bone condition and gender Implant-related: - Operator-related: -
21	• Gill et al, <sup>42</sup> • 2023 • India • Prospective study	64/28.1%	12/14×2	Infrazygomatic crest	Immediate and after 2 weeks	32	18–33 with an average age of 25 years	Loss of the miniscrew in less than 8 months after placement	Patient-related: oral hygiene and placement side implant-related: force load Operator-related: -

bone-implant contact, and bone density in the presence or absence of early loading.<sup>60</sup>

Chang et al reported stainless steel (SS) mini-implants had an insignificantly higher failure rate than titanium alloy implants.<sup>61</sup> Various failure rates have been reported; to reduce the failures, there are various surface treatments of the implant's exterior have been recommended. 62,63 Recently, active surfaces of prosthetic implants have been introduced with antimicrobial, growth factors, or hydroxyapatite (HA) on the implant surface.<sup>64</sup> However, study conducted by Durrani to compare the stability of HA-coated with uncoated TAD concluded that TADs coated with HA do not have any statistical difference in the failure when placed on the buccal shelf of the maxilla so the premise that the HAcoated TADs will have a lower failure rate seems incorrect.<sup>54</sup> The failure rate also higher when inexperienced operators placed implants so it is suggested that the insertion should be carried out by an experienced person or a resident supervised by a supervisor. 12 The detailed of this review can be seen below.

Orthodontic mini-implants failure can be influenced by several factors<sup>8</sup>, which will be classified into: patient-related, implant-related, and operator-related.

#### **Patient-Related**

#### Gender

The result from Arqub et al studies to compare survival rates of palatal and buccal mini-implants and to evaluate risk factors that influenced the survival of mini-implants showed that the survival rate of buccal mini-implants in men is lower than in women (68.4 and 80.2%). This may be related to anatomic and hormonal differences.<sup>34</sup>

Meanwhile, the result of Kim et al study to evaluate the failure rate of orthodontic mini-implants in the implant failure rate (IFR) and patient failure rate (PFR) showed that IFR and PFR were higher in men than women, although the difference was not significant. Similar to the others, Yoshida et al 35 and Malik et al 25 study also showed that the failure rates of implant were significantly higher in males compared to females. According to Ono et al, the cortical bone between first molar and the second premolar is often the site of implantation thicker in men than in women. 56

#### Age

Aly et al conducted a prospective clinical trial on 82 patients with a mean age of 21.41 years and showed a greater failure rate at less than or equal to 20 years than at more than 20 years. Similar to Aly et al, the results of Chang et al study on 840 patients with mandibular buccal shelf miniscrews showed that failure occurred in patients with a mean age of  $14\pm3$  years, which was below the overall mean age  $(16\pm5$  years).  $^{65}$ 

Xin et al<sup>36</sup> conclude that younger people with removable appliances that implant inserted in the retromaxillary or retromandibular regions had a higher progressive susceptibility to loosening, while the result of Yoshida et al<sup>35</sup> study showed that the failure rate was highest among

patients aged more than or equal to 30 years compared to less than 20 years group.

Some studies reported that a greater failure rate generally occurs at a younger age because they have less dense cortical bone, where bone quality is a major factor in the success of orthodontic mini-implants. <sup>22,66,67</sup> Meanwhile, Du et al examined age-related changes in the mandible found that volumetric bone mineral density increased with age in the 20 to 29, 30 to 39, and 40 to 49 years age groups but decreased in the 50 years age group. <sup>68</sup>

## Oral Hygiene

Mini-implant failure can occur due to poor oral hygiene. The results of Azeem et al, <sup>12</sup> Aly et al, <sup>22</sup> Uribe et al, <sup>19</sup> Azeem et al, <sup>69</sup> Sreenivasagan et al, <sup>30</sup> and Gill et al <sup>42</sup> showed a greater failure rate in patients with poor oral conditions.

Oral hygiene is a significant factor in the success rate of mini-implants since the stability of the mini-implant depends on adequate oral hygiene. Poor oral hygiene can cause food accumulation and inflammation around the mini-implant that can lead to failure, while good oral hygiene can reduce inflammation around the mini-implant.<sup>22,34,42</sup>

#### **Bone Condition**

Ichinohe et al conducted a study to determine the stability of mini-implants placed in the median palate area, which showed that the success rate of mini-implants was significantly higher in patients with an implant-suture distance of 1.5 to 2.7 mm than 0 to 1.4 mm. The mini-implant can also be more stable with a palatal cortical bone thickness greater than 1.5 mm and an insertion depth of more than or equal to 4.5 mm.<sup>70</sup>

Malik et al study conclude that bone quality is a significant factor that impacts the clinical performance of orthodontic mini-implant.<sup>25</sup> According to Ntolou et al, sites with dense cancellous bone, plenty of available bone, thin AG, and thick cortical bone are ideal for mini-implant insertion since that increase the chances of achieving proper primary and secondary stability and prevent local inflammation and also the cancellous bone and thin cortical bone with very low density adversely affect the success of mini-implant.<sup>53</sup>

## Type of Malocclusion

Arqub et al showed that mini-implants in class III malocclusion patients had a lower success rate than class II malocclusion. This was related to the type of mechanism used in the mini-implant. Generally, in class II patients, a mini-implant was used for retracting anterior maxillary teeth, while in class III, implants are typically placed in the buccal shelf or retromolar pad for distalization of the entire mandibular arch.<sup>34</sup>

#### Placement Side

The result of Azeem et al and Azeem et al showed a higher failure rate of mini-implant insertion on the right side. <sup>12,69</sup> This was associated with better oral hygiene on the left side in right-handed patients. Good oral hygiene can reduce inflammation around the mini-implants. <sup>65</sup>

Meanwhile, Gill et al showed a higher failure rate for infra zygomatic implants placed on the left side (31.3%) than on

the right side (25.0%). This indicates the technical sense of the possibility and procedure of other uncontrolled biological factors such as unilateral preference for mastication unequal level of oral hygiene among left and right-handed patients.<sup>42</sup>

#### Implant-Related

#### Insertion Location

Arqub et al study on 127 patients with a total of 275 minimplants in the palatal and buccal areas showed that there was no significant difference between maxillary and mandibular buccal implants, although the survival rate of buccal mini-implants was lower in the mandible. Comparison of the mini-implants survival rate in buccal alveolar, infra zygomatic, buccal shelf, and palatal areas showed a significant difference with the lowest survival rate in the buccal shelf area.<sup>34</sup>

Azeem et al study showed a 23.2% failure rate of minimplants in the retromolar area due to inflammation around the mini-implant, so to minimize mini-implant failure in the retromolar area, a clinician should try to reduce inflammation around the mini-implants. <sup>69</sup> According to Mote et al study, the success rate of mini-implant in maxillary arch at AG was higher than at unattached gingiva 80 and 61.67%, respectively. This result may be due to the risk of fracture and failure during insertion increase as the mini-implant diameter decreases. <sup>51</sup>

Xin et al reported that the retromaxillary and retromandibular areas were the worst places for insertion, whereas the palatal area was considered to be the ideal placement sited because of the lower possibility of contacting the root.<sup>36</sup> Durrani et al study aims to compare the stability of HA-coated with uncoated implants and concluded that implants coated with HA do not have any statistical difference in the failure when placed on the buccal shelf of the maxilla.<sup>54</sup>

#### **Dental Arch**

Kim et al compared PFR and IFR in the maxilla and mandible and then found a higher failure rate in the mandible than the maxilla though not significant. Aly et al<sup>22</sup> and Melo et al<sup>9</sup> said that the loss of stability of mini-implants was more significant in the mandible than in the maxilla. Sreenivasagan et al also reported that mobility leading to failure occurs more often on the right side and in the mandible than the maxilla. <sup>30</sup>

The higher failure rate is due to the mandible having thicker cortical bone, which can cause the bone to overheat during drilling, higher insertion torque, short AG zone causing difficult insertion in the AG, and narrower vestibular area preventing the patient from being able to clean the mandibular area thoroughly.<sup>9,22</sup>

Similar to other studies, Yoshida et al also reported that the failure rates significantly higher in the mandible than maxilla. This may be related to variations in bone structure such as alveolar cortical bone thickness and mineral density.<sup>35</sup>

#### **Treatment Objective**

The biomechanical objectives of the mini-implants have a significant effect on the palatal mini-implant success rate. The results of Arqub et al study showed that there was a substantial difference in the mini-implant success rate based on treatment needs. The lowest success rate occurred in the palatal mini-implants used for distalization (70.1%). This is influenced by the quality and quantity of bone and the influence of various host factors and oral hygiene, which can reduce the success rate.<sup>34</sup>

## Size and Design

Melo et al used mini-implants with a length of (5, 7, 9, and 11 mm) and a diameter of 1.3, 1.4, and 1.6 mm). There was no significant difference based on the mini-implant diameter, but the mini-implant with a shorter length (5 mm) showed a higher failure rate. Aly et al study showed no difference related to the type and length of the mini-implant, although mini-implants with a length of 6 mm had the lowest success rate compared to 8 and 10 mm. The success rate was lowest for mini-implants with a diameter of 1.5 mm than 1.6 and 1.8 mm.

Uesugi et al concluded that a miniscrew with a length of 8 mm was significantly more stable than 6 mm for primary and secondary insertions in the molar buccal area. As an orthodontic anchorage, there is no osseointegration between the mini-implant and the bone, so mini-implant stability is provided by the mechanical interdigitation of the implant and surrounding bone. Therefore, implants with a longer size will be more stable because the area of interdigitation with bone is larger.<sup>71</sup>

Durrani et al compared single-thread and dual-thread implants made of *titanium-aluminum-vanadium alloy* with the same dimensions (total length: 13 mm, thread length: 10 mm, and diameter: 2 mm). The result of a clinical trial on 60 implants followed for a minimum of 10 months and a maximum of 18 months showed that four implants failed in the single thread group and six implants failed in the dual thread group but showed no significant relationship between thread design and mini-implant failure. Dual-thread mini-implant exhibits better mechanical properties due to greater maximum insertion torque, greater maximum disengagement torque, and greater pullout force than single-thread mini-implants.<sup>72</sup>

#### Force

Azeem et al applied a direct force (*immediate loading*) of 100 to 150 g using a elastomeric or nickel-titanium coil spring (12 mm) and showed a greater failure rate occurred in minimplants with a direct force of more than 100 g using elastomeric, although the difference was not significant.<sup>12</sup>

Aly et al found that applying force directly to the minimplant after insertion resulted in a higher success rate than delayed loading. Immediate loading after insertion is a safe technique, with a higher success rate than delayed force, and can accept loads of up to 250 g.<sup>22</sup>

However, Jeong et al concluded that 75% of mini-implant failures occur within 16 weeks after insertion. A high failure

rate occurs when the force is applied after fewer than 12 weeks of insertion. Good stability of mini-implants is obtained about 3 to 4 months after insertion. The highest failure rate according to the time of force after insertion occurred when the mini-implant was loaded during the first week after insertion. They concluded that immediate loading after insertion could activate bone resorption and lead to the failure of the mini-implants. Failure after applying force is often observed for up to 13 weeks. Therefore, it is necessary to follow up on the mini-implants stability 3 months after the loaded force.<sup>73</sup>

Gill et al study compared implant failure with immediate and delayed time till loading showed a 100% success rate in delayed loaded implant group (after 2 weeks) compared to loaded immediately (60.9%).<sup>42</sup> According to Papageorgiou et al, in most cases, premature loading leads to healing by forming fibrous tissue between the bone and the minimplant.<sup>20</sup>

#### Material

Chang et al compared SS and titanium alloy (TiA) minimplant failure rates placed in the infra zygomatic crest area of the AG and moveable mucosa (MM) and concluded that SS mini-implants had an insignificantly higher failure rate than TiA and a higher failure rate occurred in SS minimplant at AG than TiA.<sup>61</sup>

## **Auxiliary Attachment**

Several auxiliary attachments can be used for space closure, such as Ni-Ti closing spring, elastomeric power chain, and either direct or indirect use of SS ligature wire. Joshi et al study to evaluate mini-implant failure during retraction used an elastomeric chain and SS ligature wire. Failure evaluation was marked with gingival inflammation around the minimplant and showed that a power chain is more likely to cause gingival inflammation than SS-ligature that is attached to the power chain.<sup>21</sup>

# **Operator-Related**

Several studies compared experienced and inexperienced operators performing mini-implant placement; it was seen that the failure rate was higher when implants were placed by inexperienced operators. As the results of Azeem et al study to evaluate the failure rate of mini-implants placed in the maxillary tuberosity area showed a significant relationship to the mini-implants placement by inexperienced operators, then to minimize mini-implant failures it is suggested by an experienced operator.<sup>12</sup>

We acknowledge the potential limitations as a part of this systematic review. The manuscripts were limited in an English language only, and some manuscripts written in languages other than English may lead to the language bias. The limitations of this review can be overcome by using a computerized system to filter data using applications that support systematic reviews making, whereas for the selected language, author can only choose the articles in English due to the inability to understand other foreign languages.

## **Conclusion**

Although orthodontic mini-implant success is reported to be high, implant failure in orthodontic treatment is possible. Many factors and interrelated can cause mini-implants loss. This systematic review describes several factors that can influence mini-implant failure, divided into three groups: patient-related, implant-related, and operator-related. The quality or condition of the bones and oral hygiene are factors that play a crucial role in obtaining the stability of implants. Mini-implant failure is highly influenced by poor oral hygiene and peri-implant inflammation. Three main factors that caused failures were described from the clinical point of view research and further assessment should be considered as comprehensive matters that could involve a mechanical simulation or in vivo research.

**Conflict of Interest** None declared.

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