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Review Article

Some Aspects of the Carbazole Alkaloids

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Introduction

We have been interested in some members of the family *Rutaceae* because of different views expressed in the placement of the order *Rutales* in Angiosperm taxonomy [1–4]. HUTCHINSON [3] places the order in his *Lignosae* far away from *Geraniales* which is in his *Herbaceae* while others advocate its place very near Geraniales. Aromatics, aromatics with MVA* derived units and degraded triterpenoids of the limonin group are some characteristic compounds (Fig. 1, I–IX) of the family. Among the members of the aromatics with MVA-units, alkaloids derived from anthranilic acid constitute a major group. Thus, furoquinolines, acri-

* Abbreviation: MVA = mevalonic acid;

dones, quinolines, indologuinolines and quinazolones are the major alkaloids of the family. The role of anthranilic acid in building these alkaloids was pointed out by PRICE [5] as early as 1955. Subsequently PRICE [6] pointed out the taxonomic implications of these constituents.



Fig. 1. Characteristic compounds of the Family Rutaceae.

le carbazole skeleton which could be considered to be formally derived from anthranilic acid. Some aspects of these alkaloids form the subject of the present discussion.

Carbazole (X) was isolated as early as 1872 from coal tar by GRAEBE and GLAZER. Its occurrence [7] in plants was not reported until 1964–65 when the structure of murrayanine (XI) the first member of carbazole alkaloids was reported. Since then, nearly 36 structurally different carbazole alkaloids have been reported which could be broadly classified into 3 main groups:

members with C-13 carbon skeleton (fig. 5), members with C-18 carbon skeleton (fig. 9 and 10) and members with C-23 carbon skeleton (fig. 12).

Structures of some important members

Members of the C-13 skeleton group

Murrayanine [7], C14H11NO2 (M⁺ 225) (XI; fig. 2 A) was isolated from the petroleum ether extract of Murraya koenigii Spreng. The UV spectrum of the compound was significantly characteristic for 3-formyl carbazole. On potassium borohydride reduction it afforded an alcohol (XII) having UV spectrum characteristic for 1-methoxy carbazole indicating the alkaloid to be a 3- or 6-formyl-1-methoxy carbazole. The diagnostic spectral characteristics of formyl carbazoles reported by BUCHI and WARRENHOFF [8] and those of methoxy groups reported by our group [9] have been fruitfully utilised in assigning the positions of the formyl and methoxy groups in different carbazole alkaloids. NMR data were consistent with a carbazole derivative with a methoxy and aldehyde function on it.

On zinc dust distillation murrayanine furnished carbazole (X) while its WOLFF-KISHNER reduction product furnished 3methyl carbazole (XIII) confirming the position of the formyl group at 3-position On decarbonylation the alkaloid furnished 1-methoxy carbazole (XIV). From all these data, the formulation of murrayanine as 1-methoxy-3-formyl carbazole was advanced which was substantiated by our synthesis. Another synthesis was reported by CRUM and SpRAGUE [7] just after we completed our synthesis. In our synthesis (fig. 2 B) 2-hydroxymethylene-5-methyl cyclohexanone (XV) on condensation with phenyl diazonium chloride (XVI) under JAPP-KLINGEMANN condition [10] gave 4-methyl cyclohexane-1,2,dione-1-phenyl hydrazone (XVII) which on cyclization with a mixture of acetic acid and hydrochloric acid furnished 1-oxo-3-methyl-1,2,3,4-tetrahydro carbazole (XVIII). On dehydrogenation (XVIII) furnished 1-hydroxy-3-methyl carbazole, the 0-methyl derivative of which on treatment with N-bromosuccinimide in presence of traces of benzoyl peroxide and hydrolysis (in situ) furnished 1-methoxy-3-hydroxymethyl carbazole (XIX). This on oxidation with active MnO₂ furnished murrayanine (XI).

It is evident that the structure of murrayanine has an anthranilic acid pattern. So it was of interest to look for carbazoles in a plant elaborating alkaloids derivable from anthranilic acid. The genus *Glycosmis* is taxonomically closely related to the genus *Murraya* and it has been repor-



Fig. 2. A) Chemical reactions for structural elucidation of murrayanine (XI).



СН₃





B) Synthetic route to XI.



Fig. 3. Structures of carbazoles from Glycomis pentaphylla (Retz) DC. and important analogues.

ted to elaborate [6] furoquinoline, acridone and quinazolone alkaloids which are formally derived from anthranilic acid. We therefore examined the root bark of *Glycosmis pentaphylla* (RETZ) DC. from which we reported two carbazole alkaloids (fig. 3) glycozoline (XX) and glycozolidine (XXI); their structures have been deduced from physical data, degradative reactions and synthesis.

The UV spectral data have been used with diagnostic precision in deducing the structures of these compounds. Glycozoline had the UV spectrum similar to 3methoxy carbazole. The O-acetate XXII of the phenol XXIII obtained by a pre-

ferential demethylation of glycozolidine with HBr showed UV spectrum similar to that of 2-methoxy carbazole. It suggests the placement of one of the methoxyl groups at 2-position. The isolation of glycozoline provided circumstantial evidence for the formation of the carbazole alkaloids through the anthranilate pathway. In course of our synthesis of glycozolidine, we developed a method for the synthesis of carbazoles from diphenylamine (fig. 4). It has been found that the diphenylamines XXIV-XXVII in presence of elemental iodine and oxygen cyclise to carbazoles at 350° C in a sealed tube.



Fig. 4. Widely applicable synthesis of carbazoles from diphenylamines.

The reaction has been applied to the synthesis of various carbazoles and the yield in the cases of carbazole and 3-methyl carbazole are good. Recently, aniline has also been shown to cyclise to carbazole under these conditions. A free radical mechanism involving successive hydrogen abstraction for cyclisation [7] has been suggested. So fbr nine carbazole alkaloids with C-13 skeleton have been reported (fig. 5).

Members of the C-18 skeleton group

Girinimbine (fig. 6, XXVIII), $C_{18}H_{17}NO$, mp 176°, the first member of the C-18 skeleton group was isolated by us from the stem bark of *Murraya koeni*gii Spreng. The UV and IR data showed the compound to belong to the carbazole group. A six proton singlet at δ 1.42 in NMR spectrum of XXVIII together with the symmetrical doublet at δ 5.45 and δ g 6.25 suggested the presence of a 2:2-dimethyl- Δ^3 -pyran ring in the compound. Like 2:2-dimethyl- Δ^3 -chromenes [11] g the mass spectrum of girinimbine shows a high intensity peak at m/e 248 which could be represented by the carbazolopyrillium ion XXIX.

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Like 2:2-dimethyl- Δ^2 -chromenes [11] is the mass spectrum of girinimbine shows a high intensity peak at m/e 248 which could be represented by the carbazolopyrillium ion XXIX. The ionic species or species related to it, has been found to be characteristic for compounds with 2:2-disubstituted Δ^3 pyrano-carbazole of C-18 or C-23 skeleton. The isolation of 3-methyl carbazole by zinc dust distillation of girinimbine confirmed its 3-methyl carbazole skeleton. The UV spectrum of dihydrogirinimbine was similar to that of 2-methoxy



Fig. 5. Structures of presently known C-13 carbazoles.



Fig. 6. Reactions leading to the structural elucidation of girinimbine (XXVIII).

carbazole showing the ether oxygen to be at 2-position. The proof for the fusion of the pyran ring at 2:1 position has been provided by the results (fig. 6) of ozonisation of girinimbine when the hydroxyaldehyde (XXX), mp 193° was obtained. The o-acetate (XXXI) of this aldehyde showed a UV spectrum very similar to that of 1-formyl carbazole suggesting the aldehyde group was attached to C-1. On decarbonylation, the aldehyde afforded a phenolic carbazole (XXXII) mp 243°. The o-methyl derivative XXXIII of XXXII, mp 225° showed a UV spectrum similar to that of 2-methoxy carbazole. On decarbonylation the aldehyde furnished 2-hydroxy-3-methyl carbazole. From all these data the structure of the aldehyde (XXX) was considered to be 1formyl-2-hydroxy-3-methyl carbazole and girinimbine could be formulated as XXVIII. This structure was also proposed by DUTTA et al. on the interpretation of NMR and UV data.

The structure of girinimbine has been confirmed by three syntheses. In our synthesis (fig. 7) 2:2-dimethyl acrylyl chloride XXXIV was condensed with 2hydroxy-3-methyl carbazole (XXXV) at 5° C whenwas obtained, mp 200°. This on Fries rearrangement and cyclisation gave the indolochromanone XXXVII $C_{18}H_{17}NO_2$ mp. 60–65° (λ_{max}^{E1OH} 228, 282,e 4.65, 4.09, 4.22). The chromanone was reduced with sodium borohydride when the alcohol XXXVII, C18H19NO2, mp 160° was obtained. The alcohol XXXVII on dehydration via its tosyl derivative in presence of collidine furnished girinimbine (XXVIII).

In connection with the ozonisation reaction of pyranocarbazole, we may cite the ozonization of heptazolidine (XXXIX; fig. 9), which has thrown some light on our existing ideas about attack of ozone on the pyran ring. In previous reports on the ozonisation of alkaloids and coumarins with 2:2 dimethyl- Δ^3 -pyran the α -hydroxy aldehyde and acetone (XXXVIII) were considered as a conclusive proof for the presence of a 2:2-dimethylene- Δ^3 -pyran system. No clear picture of the way ozone attacked the molecule was available. The isolation (fig. 8) of the dialdehyde XL, α-hydroxyaldehyde XLI and acetone shows that ozone attacks the double bond in the usual way and furnishes the dialdehyde type of compounds which undergoes either cleavage and decarboxylation and oxidation



XXVIII

Fig. 7. Synthesis of girinimbine (XXVIII).



Fig. 8. Carbazoles isolated after ozonolysis of heptazolidine (XXXIX in fig. 10).



Girinimbine	R ₁ = Me; R ₂ = R ₃ = R ₄ = H;
Murrayacine	$R_1 = CHO; R_2 = R_3 = R_4 = H;$
Koenimbine	R1 = Me ; R2 = OMe ; R3 = R4 = H ;
Koenine	R ₁ = Me ; R ₂ = OH ; R ₃ = R ₄ = H ;
Koenigicine	R1 = Me; R2 = R3 = OMe; R4 = H.
Heptazolidine	R ₁ = OMe ; R ₂ = Me ; R ₃ = R ₄ = H
Koenigine	R ₁ = Me; R ₂ = OMe; R ₄ = H; R ₃ = OH

Fig. 9. Structures of presently known 2,2-dimethyl- Δ^3 -pyrano carbazoles.

to yield acetone and α -hydroxy aldehyde.

While 2:2-dimethyl- Δ^3 -pyrano carbazole (fig. 9) forms a major number of C-18 skeleton group, members with dimethyl allyl chain in the oposition of the phenolic hydroxyl at 2-position are known (fig. 10). Thus, we have so far 7pyrano carbazoles while 5 carbazoles with dimethyl allyl residue are known.

Members of the C-23 skeleton group

Mahanimbine, (XLII; fig. 11), C₂₃H₂₅NO, mp. 94–95° CM⁺ 331) $[\alpha]^{CHCL}_{D}$ 45.4°, the first member of C-23 carbazole alkaloids was isolated by us from the stem bark of Murraya koenigii Spreng. Its UV spectrum was similar to that of girinimbine suggesting the presence of a pyrano carbazole skeleton like girinimbine. This was confirmed by the mass spectral data of mahanimbine when the high intensity peak at m/e 248 characteristic for the carbazole-pyrilium ion was observed. From the NMR data and some reactions we concluded that like girinimbine (XXVIII) it had a 2:2 dimethyl- Δ^3 -pyran ring and a C₅H₉ residue containing unsaturation. The complete structure of mahanimbine was proposed



Heptaphylline Heptazoline 6-Methoxyheptaphylline Indizoline Clausanitin $\begin{array}{l} R_{1} = - CH_{2}CH = C < ; \ R_{2} = OH ; \ R_{3} = CHO ; \ R_{4} = R_{5} = R_{6} = H \\ R_{1} = CH_{2} - CH = C < ; \ R_{3} = CHO ; \ R_{2} = R_{6} = OH ; \ R_{4} = R_{5} = H \\ R_{1} = CH_{2} - CH = C < ; \ R_{3} = CHO ; \ R_{2} = OH ; \ R_{4} = OMe ; \ R_{5} = R_{6} = H \\ R_{1} = OMe ; \ R_{3} = CHO ; \ R_{2} = CH_{2} - CH = C < ; \ R_{4} = R_{5} = R_{6} = H \\ R_{1} = R_{4} = R_{6} = H ; \ R_{2} = OH ; \ R_{3} = CHO ; \ R_{5} = -CH_{2} - CH = C < \\ \end{array}$

Fig. 10. Structures of presently known dimethylallyl carbazoles.



Fig. 11. Structure and synthesis of C-23 carbazole mahanimbine (XLI).

by NARASIMHAN [12] from the precise analysis of the NMR, the UV data and the isolation of an 1-formyl carbazole derivative by ozonisation as XLII. Th α -hydroxy aldehyde obtained by ozonisation of mahanimbine was fully characterized by JOSHI et al. [13] as 1-formyl-2-hydroxy-3-methyl carbazole (XXX in fig. 6) which fully supported NARASHIMHAM'S structure.

The structure of mahanimbine was confirmed by synthesis [7] by us as follows (fig. 11). 2-Hydroxy-3-methyl carbazole (XXXII) was condensed with citral (XLIII) in presence of pyridine in the cold when mahanimbine was obtained. Other syntheses [7] also appeared almost simultaneously. The condensation of citral with the above phenolic substrate was accomplished under different experimental conditions by several workers.

The monoterpene unit in mahanimbine has given expression to pentacyclic and hexacyclic bases. Of these, murrayazoline (XLIV in fig. 12) $C_{23}H_{25}NO$ (M⁺ 331) [α]_D-11°, mp 260-62° was isolated by us in 1966. Later its racemate named mahanimbidine and curryangin was isolated from the leaves and the stem bark of the plant. On the basis of nmr and mass spectral data POPL1 et al. [14] as well as DUTTA et al. [15] advanced the structure



Fig. 12. Structures of pentacyclic and hexacyclic C-23 carbazoles.



Fig. 13. Structures of various aromatics indicating possible arrangements of a C10 unit.

of mahanimbidine as (XLIV). DUTTA ed al. also obtained the compound during SnCl₂ catalysed cyclisation of citral with 2-hydroxy-3-methyl carbazole.

It was observed by us that during attempted hydrogenation in acetic acid of murrayazoline, a compound $C_{23}H_{27}NO_2$, M⁺ 349, was obtained. On chromic acid oxidation murrayazoline furnished acetone. These reactions of murrayazoline prompted us to undertake the x-ray crystallographic studies with the collaboration of Dr. BORDNER [16] to confirm the hexacyclic structure of the base.

We isolated from the stem bark of the plant, murrayazolinine (XLV) $C_{23}H_{27}NO_2$ identical with the compound obtained by acid catalysed hydration of murrayazoline (XLIV). The first pentacyclic carbazole alkaloid murrayazolidine, (XLVI) $C_{23}H_{25}NO$, mp 141° [α]_D 20° was also obtained from the stem bark of

the plant. This compound was obtained as a racemate and named as cyclomahanimbine or currayanine by different wor-



Mahanimbine (dl)	$R_1 = Me; R_2 = R_3 = H;$ $R_4 = CH_2 - CH_2 - CH = C <$
Mahanine	$R_1 = Me; R_2 = H; R_3 = OH$ $R_4 = -CH_2 - CH_2 - CH = C <$
Mahanimbicine	R1 = R3 = H; R2 = Me
Isomahanimbicine	R ₄ = - CH ₂ - CH ₂ - CH = C <
Mahanimbinine	R1 = Me ; R2 = R3 = H ; R4 = CH2 - CH2 - CH - C < I OH
Murrayacinine	R ₁ = CHO ; R ₂ = R ₃ = H ; R ₄ = - CH ₂ - CH ₂ - CH = C <
Mahaniboline	R1 = Me; R2 = R3 = H
	$= \mathbf{R}_{4} = -\mathbf{CH}_{2} - \mathbf{CH}_{2} - $

Fig. 14. Structures of carbazoles with a C_{10} -monoterpene unit.

kers. The interrelationship between murravazoline (XLIV) murrayazolinine (XLV) and murrayazolidine (XLVI) has been established by a series of reactions [7] starting from murrayazoline as shown in fig. 12.

The structures of other members of these C-23 alkaloids with a C10 unit forming a Δ^3 -pyran ring are shown in fig. 14.

The structure of an interesting hexacyclic base bicyclomahanimbine has been advanced [26] as (XLVIII) instead of (XLVII) on the basis of the X-ray Crystal Structure of cannabicyclol (L).

Antibiotic Properties of the Alkaloids

The antibiotics from higher plants have been subject of numerous investigations since the pioneering work by Osborn [20-22]. It is still a matter of experience that few compounds from higher plant sources have been found substantially promising as compared with the antibiotics of microbial origin. Previously, we found that some natural coumarins have antibiotic action. Since carbazole alkaloids formed a new group of plant products, we examined the antibiotic action of some of its members. The antibiotic properties of the carbazole alkaloids and some related products have been tested by the agar cup assay method using SABOURAD'S medium against Microsporum gypseum, Trichophyton rubrum, Epidermophyton floccusum, Candida albicans, Candida tropicalis, Staphyllococcus aureus and Escherichia coli. Glycozoline, 1-methyl-6-hydroxy carbazole, glycozolidine, 1-hydroxy carbazole, 2:6-dihydroxy-3-methyl carbazole, murrayanine, girinimbine, mahanimbine and heptazoline were active at a concentration of 10 μ g/ml. The most significant ac- $\frac{1}{20}$ tion was observed with 6-hydroxy-3-methyl carbazole which could inhibit the growth of Trichophyton rubrum at 10 g µg/ml. Girinimbine was active against Nocardia asteroids at a concentration of 30 µg/ml.

A most relevant point that may be mentioned here is that mahanimbine and E cannabichromene have the same monoterpene unit which undergoes similar cy-



carbon fragment. Similar five carbon fragments have been encountered in the aromatic plant correct aromatic plant constituents of the anthraquinone group. ZENK and LEISTNER [23] had shown that mevalonic acid participates in the formation of the aromatic ring

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of *Rubiaceae* anthraquinones. Further it is well known that in the indole alkaloids the monoterpene unit derived from the mevalonate participates in the formation of ring C, ring D and ring E of the indole alkaloids [24].

In consideration of these facts the present author suggested that the ring C carrying the extra methyl group may be a contribution from mevalonic acid. The mevalonate participation in building the ring C of the carbazole alkaloids was also conceived by ERDTMAN, POPLI and KAPHL as well as by NARASHIMHAN [7].

ERDTMAN pointed out that carbazoles could originate from a 3-prenylated quinoline via 2-prenylated indole. KUREEL et al. postulated that the indole ring could arise from anthranilic acid via dimethyl allyl quinolines and subsequent ring contraction. NARASHIMHAN however considers that tryptophan is the substrate to which the C_5 -unit initially attacks the 3-position of the heterocyclic system. Subsequently cyclisation and loss of serine residue in presence of pyridoxal coenzyme give a dihydrocarbazole which on dehydrogenation yields a 3-methyl carbazole.

POPLI and KAPIL carried out feeding experiments to provide evidence in favour of the mevalonate origin of the ring carrying the extra methyl group. Feeding of 2-¹⁴C and 2-³H mevalonic acid lactone tp *Murraya koenigii* resulted in the isolation of highly radioactive koenimbine and koenigicine (fig. 9) as well as mahanimbine (fig. 11) though the experiment establishing the location of the radioactivity is lacking.

The isolation of 3-mfthyl carbazole XIII from the genus *Clausena* [7] provides circumstantial evidence to this idea. The recent isolation of 3-methyl anthraquinone from the stem bark of *Clausena* heptaphylla by us has a strong relevance to tie mevalonoid origin of C_5 -unit of 3-methyl carbazole.

The oxidative functional variants of the C-methyl group at 3-position i.e. CHO, COOH, COOMe has also been encountered in this group of alkaloids. So far the report on the occurrence of the hydroxy methyl group at 3-position was however lacking. Recently we [25] have isolated a compound having the hydroxymethyl group at 3-position. Anthraquinone derivatives have such an assembly of oxidative variants of the aromatic methyl groups in different compounds.

The occurrence of pyran ring in C18 and C23 carbazole alkaloids could be rationalised by assuming the incorporation of a mevalonate or monoterpene unit as has been found in maoy phenolics. Heptaphylline (fig. 10), girinimbine (fig. 6), murrayacine (fig. 14) and their congeners are typical members with modified MVA unit. The co-occurrence of girinimbine and heptaphylline in Clausena heptaphylla may be considered as circumstantial evidence in favour of the origin of the pyran ring from a prenylated congener. In monoterpenoid carbazoles, the monoterpene unit gives rise to pyranoid alkaloids by cyclising to typical citran [26] and cyclol groups resulting in pentacyclic and hexacyclic bases.

It is evident that all the pyranocarbazoles have a 2-hydroxy 3-methyl carbazole skeleton. Popul et al. suggested that 2-hydroxy-3-methyl carbazole plays a prominent role in building the pyrano carbazoles. The occurrence of mukonidine (see fig. 5) in *Murraya koenigii* provides strong credence to this idea. Hydroxylation of the carbazole ring may result at the electrophilic centres at 3 or 1 positions

It was therefore, of interest to us to look for the biomimetic [27] hydroxylation studies with 3-methyl carbazole as the substrate. Nuclear oxidation of 3methyl carbazole was carried out with FENTON'S reagent as well as with the UDEN-FRIEND SYSTEM (ascorbic acid, ferrous sulphate, H₂O₂, EDTA and molecular oxygen), producing (a) a colourless compound, mp 280°, M⁺ 374, a dimeric carbazole, (b) 2-Hydroxy-3-methyl carbazole, (c) a compound, mp 185° which had colour reactions characteristic for aldehydes and had the UV spectrum characteristic for 3-formyl carbazole system, and (d) 1-hydroxy-3-methyl carbazole, mp 150°.

The IR spectrum of the dimeric carbazole showed the absence of - NH - or hydroxyl function but the UV spectrum showed it to be a 2-oxygenated carbazole derivative. From all these data the dimeric compound could be represented by the following structure LII.



The results of this biomimetic oxidation show that hydroxylation of 3-methyl carbazole gives 2-hydroxy-3-methyl carbazole as the major hydroxylated product. It appears from the formation of an aldehydic substance that during hydroxylation the aromatic methyl group may

be oxidised to formyl group. The oxidation of toluene to benzyl alcohol under

modified UDENFRIEND reaction could begg cited as a precendence. The lower yield.ª of 1-hydroxy carbazole could probably> be due to the fact that it occupies a position meta to the methyl group.

These experiments provide a rational basis for the production of larger amount of 2-oxygenated carbazole and support the idea that 3-methyl carbazole is the progenitor of other carbazole alkaloids. ∋ Further work may reveal dimeric carbazoles in nature. The following Table shows the occurrence of the different ty-

pes of carbazo nera.	le alkaloids in different ge	Hoinan Voniel
<i>Table I</i> Distribution of ca sub-fam. <i>Aurant</i>	arbazole alkaloids (Fam. <i>Rutacea oidea</i> ; sub-tribe <i>Clauseneae</i>)	e Sinntaraala
Genus	Alkaloids	_q qu
Glycosmis	C ₁₃	- lerd
Clausena	C13 and C18	Ċ
Миттауа	C13, C18 and C23	han
It is evide plants of the <i>Aurantoidae</i> ; bazole alkaloi	nt that in closely relate Fam. <i>Rutaceae</i> (sub fam subtribe <i>Clauseneae</i>) car ds have been found to oc	

wrantoidae; subtribe Clauseneae) cal-izole alkaloids have been found to oc-pr. onclusions bazole alkaloids have been found to occur.

Conclusions

investigations with plants of close taxonomic kinship and interesting compourals of sufficient biogenetic implications have been obtained. They show that a molecular taxonomic approach to phytochemistry is fruitful for developing biogenetic concepts and in this area substantial biosynthetic work has not yet been carried out.

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